(54) Title: METHOD FOR PRODUCING TAGGED GENES, TRANSCRIPTS AND PROTEINS

(57) Abstract

The invention described here is a method whereby a molecular tag is put on a gene, transcript and protein in a single recombinational event. The protein tag takes the form of a unique peptide that can be recognized by an antibody or other specific reagent, the transcript tag takes the form of the sequence of nucleotides encoding the peptide that can be recognized by a specific polynucleotide probe, and the gene tag takes the form of a larger sequence of nucleotides that includes the peptide–encoding sequence and other associated nucleotide sequences. The central feature of the invention in its essential form is that the tag–creating DNA has a structure such that when it is inserted into an intron within a gene it creates two hybrid introns separated by a new exon encoding the protein tag. A major virtue of the method is that it allows one to identify new proteins or protein–containing structures, and, having done so, to readily identify and analyze the genes encoding those proteins.

METHOD FOR PRODUCING TAGGED GENES,
TRANSCRIPTS AND PROTEINS

References cited.

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7.   Adams et al. (1991) <u>Science</u>, 252: 1651.

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Field of the Invention

This invention relates to the fields of Molecular
Biology and Molecular Genetics with specific reference to
the identification and isolation of proteins and of the
genes and transcripts that encode them.

Description of the Prior Art

The primary area of the invention - the
identification and tagging of genes and proteins - has
received a great deal of attention, and many successful
methods have been devised. None of these methods, however,
has the feature of tagging gene, transcript and protein in
a single event.

Linkage Analysis

Genes have traditionally been identified by
identifying mutations and then mapping them with respect to
one another by means of genetic crosses. This kind of
mapping, or linkage analysis, does not serve to isolate the
genes themselves nor does it indicate anything about the

genes' molecular structure or function. In recent years a form of linkage analysis using restriction fragment length polymorphisms (RFLPs) has come into use (1). This method serves to identify DNA sequences that are linked to a gene

5   of interest, and, having identified such a DNA sequence, it is possible in principle, and sometimes in practice, to identify and clone the gene itself by performing chromosome walks or jumps (2). It should be stressed that, even when successful, this strategy identifies the gene, not the

10   protein encoded by the gene.

Transposon Tagging

Another technique for cloning genes that has been developed relatively recently goes by the name transposon tagging. In this technique (3), mutations due to the

15   insertion of transposable elements into new sites in the genome are identified, and the genes in which the transposons lie can then be cloned using transposon DNA as a molecular probe. Transposon tagging, like RFLP/linkage analysis, identifies genes, not proteins.

20   ## Enhancer Trapping

Another method for identifying genes, enhancer trapping (4), involves the random insertion into a eucaryotic genome of a promoter-less foreign gene (the reporter) whose expression can be detected at the cellular

25   level. Expression of the reporter gene indicates that it has been fused to an active transcription unit or that it has been inserted into the genome in proximity to cis-acting elements that promote transcription. This approach has been important in identifying genes that are expressed

30   in a cell type-specific or developmental stage-specific manner. Enhancer trapping, like RFLP/linkage analysis and transposon tagging, identifies genes, not proteins, and it does not directly reveal anything about the nature of the protein product of a gene.

<u>Guest Peptides and Epitope Tagging</u>

A number of studies have been performed in which new peptides have been inserted into proteins at a variety of positions by modifying the genes encoding the proteins using recombinant DNA technology. The term "guest peptide" has been used to describe the foreign peptides in these cases. It is clear that in many cases the presence of such peptides is relatively innocuous and does not substantially compromise protein function - especially in those cases where the peptide is on the surface of the protein rather than in its hydrophobic core.

Epitope tagging (5) is a method that utilizes antibodies against guest peptides to study protein localization at the cellular level and subcellular levels. Epitope tagging begins with a cloned gene and an antibody that recognizes a known peptide (the epitope). Using recombinant DNA technology, a sequence of nucleotides encoding the epitope is inserted into the coding region of the cloned gene, and the hybrid gene is introduced into a cell by a method such as transformation. When the hybrid gene is expressed the result is a chimeric protein containing the epitope as a guest peptide. If the epitope is exposed on the surface of the protein, it is available for recognition by the epitope-specific antibody, allowing the investigator to observe the protein within the cell using immunofluorescence or other immunolocalization techniques. Epitope tagging serves to mark proteins of already-cloned genes but does not serve to identify genes.

<u>Isolating Genes Beginning with the Proteins they Encode</u>

A number of procedures have been developed for isolating genes beginning with the proteins that they encode. Some, such as expression library screening (6), involve the use of specific antibodies that react to the protein of interest. Others involve sequencing all or part of the protein and designing oligonucleotide probes that can be used to identify the gene by DNA/DNA hybridization.

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In all of these cases, one must have specific knowledge about a protein before it is possible to take steps to clone and characterize the gene that encodes it.

cDNA Cloning and Sequencing

5   A method of gene identification that has received a great deal of attention in the recent past is the cloning (and in many instances, sequencing) of so-called expressed sequence tags (ESTs) from cDNA libraries made from mRNA extracted from a given tissue or cell type (7).
10   Information about the proteins encoded by the mRNAs can be derived from the cDNA sequences by identifying and analyzing their open reading frames. In many cases such cDNAs are not full length, however, and so information about the amino-terminal portion of the protein is lacking.
15   And, more significantly, the method tags transcript sequences and not the proteins that the transcripts encode.

RNA Splicing

       RNA splicing is the natural phenomenon, characteristic of all eucaryotic cells, whereby introns are
20   removed from primary RNA transcripts. A large body of research has revealed that an intron is functionally defined by three components - a 5' donor site, a branch site and a 3' acceptor site (8). If these sites are present, and if the intron is not too large (it can be at
25   least as large as 2kb in many organisms), and if the distance between the branch and 3' acceptor sites is appropriate, the cellular splicing machinery is activated and the intron is removed from the transcript. Many different natural DNA sequences are known to have splice
30   site function; consensus sites for mammalian splicing are indicated in Figure 1 below. Thus, not only have many active splice sites been cloned, but there is a large database that can be used to design synthetic functional splice site sequences.

Figure 1. Consensus sequences for splicing mammalian pre-mRNA transcripts.

R: purine; Y: pyrimidine; N: any base.

Gene Trapping

Gene trapping is a method used to identify transcribed genes. Gene trapping vectors carry splice acceptor sites directly upstream of the coding sequence for a reporter protein such as b-galactosidase. When the vector inserts into an intron of an actively transcribed gene, the result is a protein fusion between an N-terminal fragment of the target gene-product and the reporter protein, the activity of which is used as an indicator that integration into an active gene has occurred (9). Gene trapping seeks to identify transcribed genes - not to tag proteins, and to inactivate genes - not to produce an active tagged gene product.

Objects and Advantages of the Invention

"CD-DNA" and "CD-Tagging"

The so-called central dogma of genetics states that information flows from DNA to RNA to protein. The method of this invention tags each of the classes of macromolecule included in the central dogma. Accordingly, the method is referred to herein as "CD-tagging." Likewise, the term "CD-DNA" is used herein to refer to a DNA molecule that is inserted into the genome using the method of this invention.

Identifying and Isolating Proteins, RNAs and Genes

A method that allows one to readily identify genes by observing tagged proteins ought to be of great advantage relative to the prior art. CD-tagging has just this feature. In particular, when the protein tag is an epitope that is recognized by a particular antibody, cells can be treated with a CD-DNA, or with DNA constructs

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containing a CD-DNA, and then subjected to immunological screens or selections to identify the epitope tag. Many different screens or selections are possible, each of which has its own particular advantages. These include direct or indirect immunofluorescence by which tagged proteins can be localized to particular regions or subcellular structures within a cell, immunoblot analysis by which the abundance, molecular weight and isoelectric points of tagged proteins can be determined, enzyme linked immunoassays (ELISAs) by which internal or secreted tagged proteins can be distinguished, and fluorescence-activated cell sorting (FACS) by which living cells with tagged proteins at their surfaces can be obtained.

Once proteins and genes of interest have been identified, they can be efficiently purified using standard hybridization and/or affinity-purification methods that take advantage of their specific tags.

Large Target Size in the Genome

CD-tagging depends on the insertion of a CD-DNA into an intron. Since higher eucaryotic genes contain much more intron than exon sequence, the target size is large relative to any other tagging method in which the DNA must insert into an exon. Further, since the typical gene contains numerous introns, the boundaries of which determine the sites at which amino acid insertions in the protein can be produced by CD-tagging, it is likely that for a given protein there exist multiple sites at which peptide tags produced by CD-DNA insertions would not seriously compromise protein function. Indeed, there is some evidence that the sites in many proteins that are determined by the exon/intron boundaries are particularly likely to be on the surface of the protein - at an ideal location to accept a guest peptide and to allow recognition of that peptide by an antibody.

Hybrid Proteins are Expressed in
Backgrounds where Normal Genes are also Present

As discussed earlier, experience has shown that
in many, and perhaps most, cases epitope fusion proteins
have normal, or nearly normal, activity. But even this is
not a requirement in order for CD-tagging to be useful in
identifying proteins and their genes because in many
applications one or more copies of the normal gene will be
present in addition to the tag-containing gene (e.g., when
diploid cells are tagged); here the tagged protein need not
be fully functional as long as it can, for example, co-
assemble at its normal location along with the protein
encoded by the unaltered gene.

Obtaining Sequence Data

Once an organism or cell line expressing a
protein of interest has been identified using the method of
the invention, a DNA representing a portion of mRNA
encoding the protein can be obtained by standard techniques
such as plasmid rescue or amplifying the sequence of
interest from cDNA by means of the polymerase chain
reaction (PCR) using poly-dT as one primer and a DNA
complimentary to the tag-encoding sequence as the other.
The amplified DNA can then be sequenced by standard
methods. Knowledge of the sequence can then be used to
design primers for amplification from genomic DNA in order
to obtain genomic sequence information.

Application to Analysis of Subcellular Structures

One important application for CD-tagging is to
identify proteins, and the genes encoding them, that are
present in particular subcellular structures. This can be
done by screening CD-DNA recipients for those that express
the protein tag in the structure of interest. A
significant advantage of this approach is that it does not
depend upon the purification of the structure of interest,

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or even on the prior existence of a method for such purification, as traditional methods for characterizing subcellular structures do.

5   In addition to identifying proteins in known structures, CD-tagging holds the promise of identifying new structures, and the proteins they contain, that have not been explicitly recognized before.

Application to the analysis of cellular responses

10   CD-tagging can be used to identify proteins, and the genes encoding them, whose synthesis is stimulated by a particular treatment, such as the administration of a particular hormone or growth factor to a particular cell type. This can be accomplished by comparing treated and untreated cells to identify proteins whose levels change in 15   response to the treatment. And, using standard immunocytochemical methods, one can discriminate among such proteins to identify those that are secreted, localized to the cell surface, or present in particular subcellular compartments.

20   ### Application to Virology

Viral infection often leads to specific changes in cellular gene expression. Using CD-tagging, cellular genes whose expression is up or down-regulated can be identified by comparing the levels of tagged proteins in 25   infected versus uninfected cells. Likewise, if the viral genome is tagged, the expression of viral proteins during the viral life cycle can be observed.

Application to Analysis of Transcriptional Regulation

Much genetic regulation occurs at the level of 30   transcription. Because CD-tagging puts a unique tag into mRNA species derived from a tagged gene, the tag can be used to investigate mRNA synthesis and stability.

Application to the Analysis of the Human Genome

Because most cellular functions are mediated by proteins, it is of particular interest in the context of the comprehensive analysis of the human genome to identify those parts of the genome that are expressed in the form of proteins. CD-tagging provides an efficient general method to directly identify new genes on the basis of their expression as proteins and on the basis of the location of those proteins in particular cellular or extracellular structures. In addition, CD-tagging provides a method for efficient physical and/or RFLP mapping of genes, as well as a method for the isolation of genes and transcripts via their nucleic acid tags and for the efficient purification of proteins via their epitope tags. CD-tagging has specific advantages over the prior art method for identifying and mapping genes using expressed sequence tags (ESTs). ESTs are cDNA sequences, not genomic sequences. Thus an EST probe will hybridize not only to the true gene but to any pseudogenes that are present in the genome, thereby limiting its usefulness for mapping and cloning the true gene. Likewise, an EST probe may hybridize with closely related members of a gene family, again limiting its usefulness as a probe for a unique sequence. These limitations do not apply if a gene is identified by CD-tagging, since the method provides direct access, through the CD-DNA tag, to the true gene.

Applications to Medicine

CD-tagging has broad application to the analysis and diagnosis of disease. With regard to analysis, CD-tagging makes it possible to demonstrate, through linkage analysis, that a defect with respect to a given protein represents the primary defect for a given genetic disease or cancer. The function of the protein can then be examined in detail to gain new understanding of the biology of the disease.

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With regard to diagnosis, genes that are isolated using CD-tagging can provide probes to identify disease-associated restriction fragment length polymorphisms, and they can provide primers by which mutations responsible for genetic diseases could be precisely identified. Once such polymorphisms or mutations have been identified, diagnostic tests for the presence of mutant alleles in homozygous or heterozygous individuals can be developed using standard approaches. Likewise, proteins that are isolated using the invention can be used as antigens to develop antibodies that can be used to make molecular diagnoses for a particular genetic disease. With regard to therapy, genes or proteins that are identified using CD-tagging could be used to treat a wide variety of infectious and non-infectious diseases.

Summary of the Invention

The invention utilizes a "CD-DNA" molecule that contains acceptor and donor sites for RNA splicing. Between the acceptor and donor sites is a sequence of nucleotides that encodes a particular peptide (or set of three peptides, one for each possible reading frame). When the CD-DNA is inserted into an existing intron, it creates a new peptide-encoding exon surrounded by two hybrid, but functional, introns. The result is that, after transcription, RNA splicing and translation, a protein is produced that contains the peptide located precisely between the amino acids encoded by the exons that surrounded the target intron. Thus, in a single recombination event at the DNA level: 1) the gene encoding the protein is tagged by the CD-DNA sequence for recognition by a DNA probe or primer, 2) the RNA transcript encoding the protein is tagged by the peptide-encoding sequence for recognition by a DNA probe or primer, and 3) the protein is tagged by the peptide for recognition by a specific antibody or other reagent.

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Brief Description of the Figures

Figures 2-8 show the structures of a number of DNA molecules that embody the invention. The dark lines represent DNA molecules, with the thicker areas representing coding sequence. Sites in the DNA are represented by short vertical lines. Segments of each DNA are indicated below each molecule. When the DNAs are functioning when inserted into introns, transcription is from left to right for those regions where the sites are shown above the DNA molecules, and from right to left for those regions where the sites are shown below the DNA molecules.

In the Figures the various DNA segments ("peptide-encoding segment," "left arm," "right arm," "central segment") are not given specific lengths. This reflects the fact that their lengths can vary considerably and need not have the same values from embodiment to embodiment. The peptide encoding segments will generally be between 24 and 75 nucleotides in length so as to encode peptides of 8 to 25 amino acids; the other segments will generally be between 100 and 1000 base pairs in length so that the hybrid introns created by insertion of the CD-DNA are not too large for efficient splicing. Likewise the base compositions of the various DNA segments are not defined, except at the indicated splice acceptor, branch and donor sites. These segments could be random sequences or be natural sequences without unusual structural features.

It should be emphasized that a great many different molecules of the structures claimed here can be constructed, and that a great many specific means for constructing such molecules using standard recombinant DNA technology will be obvious to an individual skilled in the arts of molecular biology.

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Detailed Description of Invention

This invention provides a method for tagging proteins and the genes and transcripts that encode them in a single recombinational event. The method involves the insertion by *in vitro* or *in vivo* recombination of a specially chosen and/or designed DNA sequence into an intron that is expressed within the genome of a cell or organism. This DNA sequence carries: 1) coding information for one or more specific peptides, typically, but not necessarily, from eight to twenty-five amino acids in length, and 2) appropriately placed branch, acceptor and donor sites for RNA splicing. The nucleotide sequences representing the branch, acceptor and donor sites may represent natural sites taken from known genes or they may be rationally designed based on current knowledge of the nucleotide compositions of such sites (8).

Figures 2-8 show the structures of a number of different embodiments of the invention. A key and essential feature of these embodiments is that, when inserted into existing introns, they instruct the splicing machinery of the cell to recognize more than one intron where there was previously one, with these new introns flanking a new exon, or exons, encoding a peptide, or peptides, of determined amino acid sequence.

All of these embodiments can be readily produced by an individual skilled in the arts of molecular biology. I have not specified the specific means by which the embodiments are constructed because there are numerous ways, well known to an individual skilled in the arts of molecular biology, by which this can be accomplished. Likewise, I have not specified the particular nucleotide sequences present in each segment, except as specifically indicated in the text. Again, there are many sequences that could serve and that could be used by one skilled in the arts of molecular biology.

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Figure 2 represents a simple embodiment of the invention. The DNA is designed to function when inserted into an intron that is transcribed from left to right. It has a peptide-encoding segment between splice acceptor

5   donor sites. Within the left arm is a splice branch site. The size and nucleotide sequence of the peptide-encoding region determines the size and amino acid sequence of the encoded peptide, with the amino acid sequence of the peptide determined by the rules of the genetic code. The

10   number of nucleotide pairs in the peptide-encoding region must be an even multiple of three to ensure that the reading frame is maintained with respect to the surrounding exons.

Figures 3, 4 and 5 represent embodiments designed

15   to function when inserted into an intron in either orientation.

Figure 6 represents a circular embodiment of the invention. This embodiment could, for example, be a plasmid that contains DNA encoding the guest peptide.

20   Figure 7 represents an embodiment incorporating a gene, or genes, that could allow for selection in a target cell. The gene is intron-less so that it does not contribute splice sites.

Figure 8 represents a circular embodiment of the

25   invention containing two peptide-encoding segments.

Figures 2 through 8 represent some, but by no means all, possible embodiments of the invention.

More complex embodiments that retain the essential elements of the invention are also possible. For

30   example, CD-DNAs containing more than two segments encoding guest peptides can be designed; such CD-DNAs could be relatively large and yet not lead to the generation, in the target gene, of new introns that are excessively large for efficient splicing.

Likewise, it may be, for example, that in certain cells the branch sites are less critical to splicing function than the acceptor and donor sites, in which case an effective embodiment of the invention might be created

5   without specific branch sites. Thus, as knowledge of the biochemistry of RNA splicing accumulates in the art, DNA sequence features may be identified that can increase the efficiency of CD-tagging. The scope of this invention is intended to include such features.

10   Operation of the Invention
The design of the CD-DNA is such that when it is inserted into an existing intron, it creates, within the intron, a new peptide-encoding exon. The result is that, after transcription, RDA splicing and translation, a

15   protein is produced that contains the peptide located precisely between the amino acids encoded by the exons that surrounded the target intron. Thus, in a single recombination event: 1) the gene encoding the protein is tagged by the CD-DNA sequence for recognition by a DNA

20   probe or primer, 2) the RNA transcript encoding the protein is tagged by the peptide-encoding sequence for recognition by a DNA probe or primer, and 3) the protein is tagged by the peptide for recognition by a specific antibody or other reagent.

25   Delivery, Recombination and Function of CD-DNAs
Recombination of a CD-DNA within an intron is essential to successful CD-tagging. Figure 9 illustrates the structure of the DNA that results from the integration of a linear CD-DNA within an intron by recombination at its

30   ends. When transcribed, this DNA yields an RNA that is spliced to produce an mRNA encoding a protein that contains a guest peptide located precisely between the protein segments encoded by the exons that bound the target intron. Figure 10 illustrates the structure of the DNA that results

35   from the integration of a circular CD-DNA within an intron

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by a single crossover. When transcribed, this integrated DNA yields an RNA that is spliced to produce an mRNA encoding a protein that also contains a guest peptide (in this case encoded in two guest exons) located precisely

5   between the protein segments encoded by the exons that bound the target intron.

Integration of a CD-DNA can be accomplished in a number of ways. One approach involves the introduction of CD-DNA into cells by standard methods such as

10   transformation, electroporation, transfection, bulk loading, or liposome fusion, followed by nonhomologous recombination of the CD-DNA into the genome. The occurrence of such recombination is well known in many cell types; sometimes the integration of foreign DNA is

15   accompanied by a small deletion of the target sequence, but, as long as such a deletion remains within the intron, it will present no problem. In another approach, the CD-DNA is inserted by standard *in vitro* recombination methods into a genomic library in a viral or plasmid vector, and

20   the recombinant plasmids or viruses are then introduced into cells where the recombinant genes are expressed. Yet another approach takes advantage of the mobility of transposons; in this case the CD-DNA is located on a transposon that moves it to new sites in the genome via

25   transposon insertion.

Peptides and Epitopes

In one major class of application of CD-tagging, the peptide that is introduced into a protein is an epitope that is recognized by a specific monoclonal or polyclonal

30   antibody. In principle, almost any amino acid sequence not present in the cells of interest could serve as such an epitope. And, while there may not be a single "optimal" epitope, epitope design could still follow a rational basis. In most cases, it would be valuable for the epitope

35   to be on the surface of the protein where: 1) it would be readily available to the antibody combining site, and 2) it

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would minimally disrupt the tertiary structure of the protein as a whole. Surface location can be promoted by use of hydrophilic epitopes (except in the case of integral membrane proteins, where hydrophobic epitopes can be employed). If a single repeating nucleotide is used to encode the epitope, it will yield the same poly-amino acid epitope in all three reading frames; a repeating dinucleotide will encode two potential poly-amino acid epitopes, and a repeating trinucleotide, three such epitopes. A somewhat more complex repeating sequence can be used to encode repeating di-amino acid epitopes, and still more informationally complex sequences can be used to create epitopes of a very wide variety of amino acid sequences, with the only obvious requirement being the absence of stop codons in the reading frames. Furthermore, some CD-DNAs (Figures 3, 4, 5) contain peptide-encoding sequences that can be read in both directions; in these cases as many as six distinct epitopes can be encoded on the same CD-DNA. Which epitope appears in the protein will then depend on the orientation the CD-DNA as well as the reading frame that is dictated by the specifics of the intron/exon boundaries of the target intron.

In addition to using epitopes that are designed according to the principles outlined above, other epitopes exist, such as hemagglutinin sequences from influenza virus, micro-exon 1 encoded sequence from the ubx gene of Drosophila, or sequences encoded by the myc oncogene, that have already proved their worth in epitope tagging. These very sequences can be used in embodiments of CD-tagging, thereby ensuring that the guest peptides can be identified by standard procedures.

Recipient Cells

Because RNA splicing is a universal characteristic of eucaryotic cells, CD-tagging is applicable to a very wide variety of cells and organisms, including yeasts, protozoans, algae, metazoans (both plant

and animal), and somatic and germline cells derived from metazoan organisms. Because the nucleotide sequences that are necessary and sufficient for splicing are highly conserved across the eucaryotes, it is likely that in many cases the same CD-DNA will function in a variety of cell types and organisms. This is not to say, however, that a given CD-DNA will not function optimally in a given cell type or organism, and so it may prove useful to develop different CD-DNAs for use in different backgrounds. It is also the case that the signals for alternative splicing may vary from cell to cell; the optimal CD-DNA would typically be one in which splicing of the hybrid transcript always occurs. One way to maximize the likelihood of this is to construct the CD-DNA using nucleotide sequences that are known to function in the very background in which the tagging is to be performed.

Identification of Genes and Proteins

Generation of Frameshift Mutations in CD-Tagged Genes

    1.   Jarvik et al. (1996) _Biotechniques_ 20: 896.

    2.   Wang et al. (1996) _Proc. Nat. Acad. Sci. USA_ 93: 3932.

    3.   Lasko et al. (1992) _Proc. Nat. Acad. Sci. USA_, 89: 6232.

    4.   Lasko et al. (1992) _Proc. Nat. Acad. Sci. USA_. 93: 5860.

    5.   Gu et al. (1994) _Science_, 265: 103.

    6.   Rajewsky et al. (1996) _J. Clin. Invest._, 98: 600.

    7.   Fridell and Searles (1991).

    8.   Chou and Perrimon (1992).

    9.   Golic and Lindquist (1989).

   10.   Robertson et al. (1988).

Field of the Invention

This invention relates to the fields of Molecular Biology and Molecular Genetics with specific reference to generating frameshift mutations in CD-tagged genes.

Introduction

A fundamental concern in genetics and molecular biology is establishing the correspondence between gene and protein. Thus when a new gene is discovered it is usually desirable to identify the protein or proteins it encodes; conversely, when a new protein is discovered it is usually desirable to identify the gene that encodes it. CD-tagging is a molecular-genetic method that adds specific tags to gene, mRNA and protein in a single recombinational event. The CD-cassette or cassettes can be delivered directly to cells by transfection or transformation, or they may be incorporated into delivery vectors such as viruses or transposons. Using the CD-tagging method, establishing the correspondence between gene and protein in gene discovery is dramatically simplified due to the fact that gene and gene product are discovered together.

Another fundamental concern in genetics and molecular biology is establishing the function of genes and gene products. The CD-tagging method gives important functional information - namely the location of gene products at the tissue, cell and subcellular levels - but it does not, in and of itself, indicate the consequences to the cell and organism of reducing or abolishing gene function. Such information - which is critical to assigning or confirming gene function - must be gained through the analysis of mutant phenotypes or through the analysis of mutant phenocopies.

CD-tagging targets introns using one or more CD-cassettes that contain intronic splice branch, acceptor and donor sites surrounding an internal exon (Jarvik et al. 1996). In this application I describe the structure and
5   use of genetic elements that, when incorporated in the appropriate intronic portions of tandem the CD-cassettes, allow one to create OR REMOVE frameshift mutations and thereby gain critical information about gene function.

                Use of Site Specific Recombination to
10   Alter Expression and Function of CD-Tagged Genes
            Analysis of gene function via mutant analysis is an approach of undisputed utility. Accordingly, it would be of great value to be able to experimentally eliminate the function of a CD-tagged gene after it has been tagged
15   and analyzed. One way that this can be accomplished is to tag with a pair of cassettes that include, in one of them, target sites for site-specific recombination within the intronic portions of the molecule.
            Several site-specific recombination systems are
20   known and have been shown to function in a wide variety of procaryotic and eucaryotic organisms and cell types including bacteria; yeasts; Drosophila, C. elegans and other invertebrates; mammalian cells of many types; and whole mammalian organisms (mice). Two extensively
25   characterized site-specific recombination systems are the cre recombinase and its target lox site (ataacttcgtataatgtatgctatacg aagttat), and the FLP recombinase and its target FRT site (GAAGTTCCTATTCTCTAGAAAGTATAGGAACTTC), but other systems
30   exist as well, such as pSR1 from Zygosaccharomyces rouxii. Recombinase can be provided to CD-tagged cells in a numberof ways. For example a gene encoding the recombinase can be delivered to the tagged cell by transfection or by infection with a recombinant virus containing the gene
35   (e.g., pAdv/Cre, Wang et al. (1996)). Or the recombinase gene can be provided by crossing a transgenic animal carrying the CD-tagged gene to an animal that expresses

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recombinase; excision of the exon will then occur in those cells of the zygote in which recombinase is expressed (Lasko et al. (1992, 1996); Gu et al. (1994); Rajewsky et al. (1996)).

5   Generation of Frameshift Mutations Using Recombinase
     Target Sites in the Intronic Portions of the CD-Cassette
         When tandem CD-cassettes are present in a gene, and when one of the CD-cassettes contains a pair of site-specific recombinase target sites surrounding its guest
10   exon, then when recombinase activity is expressed in a cell containing the tagged gene the result is excision of the exon surrounded by the sites. To take advantage of this fact to create frameshift mutations in the tagged gene, the two guest exons are designed to encode compensatory
15   frameshift mutations; in particular, one guest exon contains 3N+1 nucleotides (where N is a whole number) and the other contains 3N-1 nucleotides. As a result, when both guest exons are included within the mRNA there is no frameshift of the translational reading frame downstream of
20   the CD cassette inserts. When one of the two guest exons is removed via expression of recombinase and the excision of one of the guest exons, however, a frameshift of the reading frame downstream of the cassettes is created.
         One embodiment is constructed and employed as
25   follows. Two new CD-cassettes are created by site specific mutation of the CD-1 cassette of Jarvik et al. (1996). In one (called CD-1+) an adenosine is added between C-168 and T-169. In the other (called CD-1-)C-168 is deleted. Oligonucleotides containing lox sites are synthesized by
30   standard methods and inserted into CD-1+ at the Cla-1 site (position 74) and at the SphI site (position 203) by standard methods. The modified CD-1+ and CD-1- are inserted in tandem into the NsiI site in intron 3 of the Chlamydomonas pf14 gene in plasmid pKE-RS3 following the

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procedures described in Jarvik et al. (1996). A doubly
tagged plasmid with the CD-1+ cassette upstream of the CD-
1- cassette at the NsiI site is identified and named
pRSO3+/-.

5   pRSO3+/- is transformed into the cre-expressing
E. coli strain NS3516, and plasmid is isolated from a clone
of transformed cells and shown by sequencing analysis to
have lost the CD-1+ cassette and to retain a single lox
site. This plasmid is named pRSO3-/cre. In separate
10 transformations, Chlamydomonas cells carrying a pf14 ochre
mutation are transformed with plasmid pKE-RS3 (Jarvik et
al. (1996)), plasmid pRSO3-/1 and plasmid pRSO3-/cre.
Cells that contain the plasmid DNA are identified by PCR
analysis. The cells containing the wild type pf14 gene
15 (plasmid pKE-RS3) and those that contain plasmid pRSO3+/-
are observed to have acquired motile flagella, indicating
that the tagged RSP3 protein expressed from the pRSO3+- DNA
is functional. Immunofluorescence analysis with antibody
12CA5 shows immunostaining of the flagella in the
20 transformants, and Western blot analysis shows the presence
of a protein about 4kD larger than native RSP3 (pf14 gene
product). In contrast, the transformants that contain the
pRSO3-/cre plasmid are not motile and their flagella are
not immunostained with antibody 12CA5, indicating that the
25 cells do not contain functional RSP3. Western blot
analysis shows that the cells contain an immunoreactive
protein that is less than half the molecular weight of
native RSP3. The experiment demonstrates that when a gene
is CD-tagged with tandem CD-cassettes that carry
30 compensatory frameshift mutations, the result is an
addition of tandem guest peptides to the gene product
without loss of native amino acids. When one of the guest
exons is subsequently excised, a frameshift mutation and
the consequent premature chain termination of the gene
35 product results. The predicted amino acid sequences of the
RSP3 species encoded in each construct are shown below.
The amino acids introduced by nucleotides in the guest

exons are underlined and the amino acids encoded out of
frame in the pf14 sequence after site specific
recombination are shown in italics. An asterisk indicates
the position of a nonsense codon.

5   Native RSP3 516aa

       MVQAKAQQQLYTHAAEPKAVQQRRAKYREDETTQTL
       PTANIMFDRRVVRGNTYAARILPADATQTQTKGPSP
       ASTKKRTTRTLPPRTPEAVDGRRHIDIQTDVYLEEL
       TDTVPEADTSTQTDAFLDRPPTPLFVPQKTGTDAIT
10   QIENDLFDFDFEVEPILEVLVGKVLEQGLMEVLEE
       EELAAMRAHQEHFEQIRNAELVATQRMEAAERRKLE
       EKERRMQQERERVERERVVRQKVAASAFARGYLSGI
       VNTVFDRLVSSGYIYDPVMREVETAFMPWLKEQAIG
       YLARGVVARRVVDKLVEDAAAALAANRSTLADKAAS
15   TAATVDAWAERQAKMEAELQGKELEAVRRRPTFVLR
       ELKPAVASADAVEAAAAELTAQAEEAANAKWEADKA
       EAAEKARAEAEAAAEEQKALLEELAATAAAEAEERG
       EEPPAEPPSLPDGVEPVDVEAEVAKAVEAVPKPPVK
       EVTDIDILSYMMDKGAITKDAIIQALAVHALGDKAY
20   TNHPAFAEAEGA*

       Tandem tagged RSP3 552aa

       MVQAKAQQQLYTHAAEPKAVQQRRAKYREDETTQTL
       PTANIMFDRRVVRGNTYAARILPADATQTQTKGPSP
       ASTKKRTTRTLPPRTPEAVDGRRHIDIQTDVYLEEL
25   TDTVPEADTSTQTDAFLDRPPTPLFVPQKTGTDAIT
       QIENGGRARYPYDVPDYATKIIRWKSSVPLRRPRLR
       HEDSGDLFDFDFEVEPILEVLVGKVLEQGLMEVLEE
       EELAAMRAHQEHFEQIRNAELVATQRMEAAERRKLE
       EKERRMQQERERVERERVVRQKVAASAFARGYLSGI
30   VNTVFDRLVSSGYIYDPVMREVETAFMPWLKEQAIG
       YLARGVVARRVVDKLVEDAAAALAANRSTLADKAAS
       TAATVDAWAERQAKMEAELQGKELEAVRRRPTFVLR
       ELKPAVASADAVEAAAAELTAQAEEAANAKWEADKA

                              -22-

EAAEKARAEAEAAAEEQKALLEELAATAAAEAEERG

EEPPAEPPSLPDGVEPVDVEAEVAKAVEAVPKPPVK

EVTDIDILSYMMDKGAITKDAIIQALAVHALGDKAY

TNHPAFAEAEGA*

5   Frameshift RSP3 191aa

MVQAKAQQQLYTHAAEPKAVQQRRAKYREDETTQTL

PTANIMFDRRVVRGNTYAARILPADATQTQTKGPSP

ASTKKRTTRTLPPRTPEAVDGRRHIDIQTDVYLEEL

TDTVPEADTSTQTDAFLDRPPTPLFVPQKTGTDAIT

10   QIENGGRARYPYDVPDYATKIQVTCLTLTSRWSPSW

RCWWARCWSRA*

Construction of GeneFinder-1, a CD-Cassette
Delivery Vector with Frameshift Generation
Capability for Use in Drosophila Melanogaster.

15   The new vector GeneFinder-1 is designed so that
once a gene is tagged one can readily produce a frameshift
mutation in it *in vivo* using FLP-recombinase. GeneFinder-1
carries two epitope-encoding CD-cassettes, with the 5'exon
3N-1 nucleotides in length and the 3' exon 3N+1
20   nucleotides. Surrounding the downstream exon are FRT sites
that serve to delete the exon *in vivo* when the strain is
crossed to one expressing FLP-recombinase (Golic and
Lindquist (1989)). The result will be a frameshift
mutation in the gene. The FRT sites will be situated so
25   that the vermillion gene is deleted as well, allowing us to
readily identify individuals that have deleted the DNA
between the FRT sites on the basis of eye color.

            The structure of GeneFinder-1 is shown in Figure
1.

30   To construct the 5' cassette, pCD-0 (described in
Jarvik et al. (1996)) is opened at its SacI site near the
5' end of the guest exon and ligated to a 20-fold molar
excess of the two synthetic 11-mers, 5'caattggagct 3' and
5'ccaattgagct 3' (which base pair to form a SacI-to-SacI
35   linker with an internal MunI site). The ligated DNA is cut

with MunI, religated, and transformed into *E. coli*. Plasmids are prepared from Ampr colonies and tested for the presence of a MunI restriction site at the former SacI site. The guest exon in the 5' CD-cassette is 65 nt (3N-1) in length. To construct the 3' cassette, pCD-1 (Jarvik et al. (1996)) is opened at the BglII site near the 3' end of its guest exon and ligated to a 20-fold molar excess of the synthetic 10-mer oligonucleotide 5' gatcccatgg 3' (which base pairs to form a BglII-to-BglII linker with an internal NcoI site). The ligated DNA is cut with NcoI, religated, and transformed into *E. coli*. Plasmids are prepared from Ampr colonies and tested for the presence of a NcoI restriction site at the former BglII site. The guest exon in the 3' CD-cassette is 64 nt (3N+1) in length.

Construction of the GeneFinder-1 element begins with plasmid pYC1.8, which contains a 1.8 kb vermillion gene surrounded by P element ends (Fridell and Searles (1991)). A 34nt FRT sequence with HindIII sticky ends, obtained by combining two 38nt oligonucleotides, is inserted in the HindIII site upstream of the vermilion insert. The 5' CD-cassette is cut with EcoRI and the fragment is inserted at the polylinker EcoRI site of the pYC1.8 derivative. Recombinant plasmids are recovered and tested to identify one with the CD-cassette oriented opposite to the direction of transcription of vermilion. This plasmid is opened with SalI and the SalI fragment of the 3' cassette inserted to produce a plasmid with tandem CD-cassettes. Prior to this step, an FRT site is inserted into the 3' cassette at the PacI site. Finally, nptl (Kanr) ColE1-ori fragment from plasmid pUC4K is inserted into the NotI site to produce the complete GeneFinder-1 vector.

Before using GeneFinder to identify new genes, it is confirmed that it functions as expected by inserting it into Ubx and testing the construct as described in Jarvik et al. (1996). This is done by PCR amplifying the entire GeneFinder element (minus the P element ends) using primers

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with XbaI sites at their 5' ends, and inserting the
amplicon into the XbaI site of the Ubx-containing plasmid
pUMG101. The resulting plasmid contains both the white
gene from pUMG101 and the vermilion gene from GeneFinder-1.
5   It carries just one pair of P element ends - those that
surround white and Ubx. The plasmid is injected along with
the transposase-donating plasmid pp25.7 into two sets of
Drosophila embryos: a white mutant to identify
transformants on the basis of white expression, and a
10   vermillion, rosy mutant (v36fry506) to identify
transformants on the basis of vermilion expression. White+
transformant embryos are tested for expression of epitope-
tagged Ubx protein after crossing to a GAL4-expressing
strain (Jarvik et al. (1996)). Epitope-tagged Ubx protein
15   is observed, indicating that GeneFinder is a functional CD-
tagging vector. Observation of vermilion+ transformants is
also observed, indicating that the vermilion gene is
expressed from GeneFinder. Finally, v+ transformants
express epitope tagged Ubx protein, indicating that
20   expression of vermilion does not interfere with expression
of the guest exons from the opposite DNA strand.

              Establishment of Lines Carrying
       X-Linked Recessive-Lethal GeneFinder Insertions.
             GeneFinder-1 DNA is injected into v36fyl ry506
25   embryos along with the transposase-donating plasmid pp25.7.
Surviving adults are crossed to v36fyl ry506 and germline
transposants among the progeny are identified on the basis
of dull red (v+, ry506), instead of peach (v36fry506) eye
color. Twenty or more independent female transposants are
30   crossed again to v36fyl ry506 and the progeny inspected to
identify cases in which all of the red-eyed progeny are
female, indicating that there is a recessive-lethal
insertion of GeneFinder-1 into the X-chromosome.

Mobilization of GeneFinder-1 to New Chromosomal Locations

To mobilize GeneFinder-1, red-eyed females obtained as described above are crossed to a v36fy1 strain carrying the TMS balancer which contains the P[ry+D2-3] element (Robertson et al. (1988)). Female progeny (genotype P[GF-1]v36fy1/v36fy1; P[ry+D2-3] ry2 Sb/ry506) are crossed to v36fy+ry506 males. The male progeny (which can be recognized immediately by their yellow body color due to the X-lined y1 mutation in addition to the normal sexually dimorphic characters) are primarily of two types: those that have received the TMS second chromosome and have scarlet eyes due to the v36f allele on X and the ry+ gene in the D2-3 P element, and those that have received the second chromosome with the ry506mutation and therefore have peach eyes. On occasion, however, there are also Sb+ males that express v+. These carry GF-1 transpositions to new chromosomes and the transpositions are stable because they have lost the maternal (D2-3) second chromosome.

Pilot Transposition Screens
to Identify a Suitable GeneFinder Donor

Several recessive lethal transposants of GeneFinder to X are tested to find one that transposes to other chromosomes with relatively high frequency under the influence of D2-3. For each strain the first cross is performed as described above and several virgin female progeny are placed in individual bottles along with a similar number of v36fy+ ry506 males. Approximately fifteen days later the progeny in each bottle are examined for yellow non-stubble males with dark red eyes. Individual lines are established from these animals by crossing to a v36f strain. To ensure that all transposition lines are independent, only one line is established from each bottle. Finally, to confirm that the lines truly carry transpositions to new chromosomes, rather than reversion of the recessive-lethal mutation, (e.g., by excisions that restore gene function), the pattern of segregation of v+ in the lines is noted. Since most of the

transpositions to new chromosomes should be the autosomes, vermillion does not segregate in a sex-linked manner in most v+ derivatives of the desired line. Once a GeneFinder strain from which the vector is readily mobilized is found,

5   it is used to isolate transposition lines in large numbers, using the screen described in the previous paragraph.

Generation of Lines
Homozygous for New GeneFinder Insertions

As new transposition lines are obtained, they are

10   crossed with v-/v (CyO;MKRS)/Xasta females, and red-eyed males and virgin females carrying the CyO and MKRS balancer chromosomes are isolated and mated *inter se*. Inspection of progeny from these crosses serves to assign each insertion to a particular chromosome (X,2,3 or 4) based on the

15   pattern of segregation and reveals whether the autosomal insertions are lethal (no homozygotes) or produce a visible phenotype when homozygous. For X-linked transpositions, viable male-fertile insertions are recovered, and visible phenotypes, if they exist, are uncovered by hemizygosity in

20   the red-eyed males. Lethal autosomal insertions are discarded. Homozygous stocks of the viable insertions are established from the homozygous progeny of the cross that will be identified because they lack the appropriate balancer homolog.

25   ### Generation of Frameshift Mutations in the Tagged Genes

Frameshift mutations are created beginning with the homozygous GeneFinder transposition lines generated as follows. First they are crossed to a strain that carries v- and ry-mutations and FLP38, a chromosome 3 MKRS balancer

30   within which resides a P element with an ry+ marker gene and a FLP-recombinase gene under the control of the hsp70 heat shock promoter (Chou and Perrimon (1992)). Progeny carrying recombinase and its target FRT site (GAAGTTCCTATTCTCTAGAAAGTATAGGAACTTC), but other systems

35   exist as well, such as pSR1 from Zygosaccharomyces rouxii. Recombinase can be provided to CD-tagged cells in a number

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of ways. For example, a gene encoding the recombinase can be delivered to the tagged cell by transfection or by infection with a recombinant virus containing the gene (e.g., pAdv/Cre, Wang et al. (1996)). Or the recombinase gene can be provided by crossing a transgenic animal carrying the CD-tagged gene to an animal that expresses recombinase; excision of the exon will then occur in those cells of the zygote in which recombinase is expressed (Lasko et al. (1992, 1996); Gu et al. (1994); Rajewsky et al. (1996)).

Generation of Frameshift Mutations Using Recombinase
Target Sites in the Intronic Portions of the CD-Cassette

When tandem CD-cassettes are present in a gene, and when one of the CD-cassettes contains a pair of site-specific recombinase target sites surrounding its guest exon, then when recombinase activity is expressed in a cell containing the tagged gene the result is excision of the exon surrounded by the sites. To take advantage of this fact to create frame shift mutations in the tagged gene, the two guest exons are designed to encode compensatory frameshift mutations; in particular, one guest exon contains 3N+1 nucleotides (where N is a whole number) and the other contains 3N-1 nucleotides. As a result, when both guest exons are included within the mRNA there is no frameshift of the translational reading frame downstream of the CD-cassette inserts. When one of the two guest exons is removed via expression of recombinase and excision of one of the guest exons, however, a frameshift of the reading frame downstream of the cassettes is created.

One embodiment is constructed and employed as follows. Two new CD-cassettes are created by site specific mutation of the CD-1 cassette of Jarvik et al. (1996). In one (called CD-1+) an adenosine is added between C-18 and T-169. In the other (called CD-1-)C-168 is deleted. Oligonucleotides containing lox sites are synthesized by standard methods and inserted into CD-1- at the Cla-1 site (position 74) and at the SphI site (position 203) by

standard methods. The modified CD-1+ and CD-1- are inserted in tandem into the NsiI site in intron 3 of the *Chlamydomonas* pf14 gene in plasmid pKE-RS3 following the procedures described in Jarvik et al. (1996). A doubly tagged plasmid with the CD-1+ cassette upstream of the CD-1- cassette at the NsiI site is identified and named pRSO3+/-.

pRSO3+/- is transformed into in the *cre*-expressing *E. coli* strain NS3516, and plasmid is isolated from a clone of transformed cells and GeneFinder and the FLP38 chromosome are identified on the basis of their wild type eye color and the dominant markers on the balancer. These animals are subjected to a heat shock regime (Golic and Lindguist (1989)) and allowed to mate *inter se*. F2 progeny are screened to identify those with ry+ v- eye color; these animals have lost the 3' guest exon by recombination between their FRT sites. As a result, the CD-tagged mRNA is now frameshifted beginning immediately downstream of the 65 nt 5' guest exon; in the great majority of cases this inactivates the gene product and produces a recessive mutation. (If the frameshift mutation is a dominant lethal, of course, there is a failure to obtain ry+ v-F2 animals altogether, and if it has a dominant visible phenotype it is apparent by inspection of the mutants.) To determine the homozygous phenotype of the frameshift mutations, the ry+ v- flies are crossed *inter se* and their progeny inspected.

The presence of ry- v- progeny in the F3 indicates that the mutation is not homozygous lethal; in such cases adults, larvae and embryos are observed closely to see if there is a visible nonlethal phenotype. The fertility of these flies is also examined, because some mutations are male or female sterile. The absence of ry- v- progeny in the F3 indicates that the mutaton is a lethal. In these cases the pupal, larval and embryonic stages are examined closely to identify the lethal stage and to determine the way in which the defect is expressed

morphologically. Furthermore, because the truncated protein resulting from the frameshift mutation retains a guest epitope, it is worthwhile to immunostain the mutant organisms, including, in the recessive lethal cases, those

5   that are dying or destined to die. Immunostaining is particularly informative in the cases where the original CD-tagged protein showed tissue or organ specific expression. Since the truncated protein, though inactive, serves to mark the very tissues in which its function is

10   required.

The mutant analysis has an additional formal virtue. For each gene for which FLP-recombinase creates a recessive lethal mutation, it can be concluded that the original CD-tagged gene did in fact retain activity. Thus,

15   the mutant data will allow us to reach explicit conclusions about the frequency with which CD-tagging a gene does, or does not, destroy its function.

Removing Function-Inactivating Mutations

In the cases described up to now, the CD-tagged

20   gene is initially tagged with a construct that does not alter the translational reading frame, and, by subsequent provision of recombinase activity, a frameshift is created. But the situation can be readily reversed, i.e., the tagging construct can create a frameshift, and subsequent

25   provision of recombinase can remove it, leaving a functional CD-tagged gene. This is accomplished in the following manner. The CD-tagging construct has two tandem CD-cassettes, as before, but now one of the guest exons has 3N+1 or 3N-1 nucleotides and the other has 3N. Recombinase

30   target sites are provided flanking the 3N+1 or 3N-1 exon. Thus, when both exons are present a frameshift occurs, and when recombinase activity is provided the frameshift is removed.

The specific descriptions presented above should be construed as exemplifications of certain embodiments of my invention and are not intended to limit its scope. Many other variations and applications are possible. For example, the guest exon that is excised by recombinase could encode an enzymatic activity (e.g., neomycin phosphotransferase or beta-galactosidase) or some other function (e.g., Green Fluorescent Protein or a substrate for biotin ligase activity) or it could contain translational stop codons. Accordingly, the scope of the invention should be determined not by the embodiments illustrated here but by the appended claims and their legal equivalents.

Conclusion, Ramifications and Scope of Invention

In conclusion, this invention describes a method for tagging gene, transcript and protein in a single recombinational event. This method has unique and highly useful advantages over all other methods with similar aims in the prior art.

The specific description of my invention presented above should not be construed as limitating its scope, but rather as exemplification of certain embodiments thereof. Many other variations and applications are possible. For example, peptides could be designed that have sites that lead to specific covalent modification of the tagged protein - either by a small molecule or a macromolecule. Or the peptide tag could contain a site for hydrolysis of a peptide bond by an inducible protease, thereby making it possible to assess the function of the tagged gene *in vivo*. Or CD-DNAs could contain cis-acting sites for the inducible activation of transcription arranged so that inhibitory anti-sense transcripts from the target gene are produced, thereby making it possible to assess the function of the tagged gene *in vivo*. Or the peptide-encoding sequence could contain nucleotides that are hypermutable *in vivo* so as to promote mutations such as

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frameshifts that could inactivate protein function. Or an enhancer of transcription could be included within the CD-DNA so that expression of the target gene is stimulated by the CD-DNA. Accordingly, the scope of the invention should

5   be determined not by the embodiments illustrated here but by the appended claims and their legal equivalents.

I   CLAIMS:

   1.   A method for tagging genes, transcripts and proteins in cells, comprising:

      (1)   producing a tagged gene by inserting a DNA sequence into an intron of a gene by:

      (a)   selecting a DNA sequence

         (i)   having a 5' portion free of any nucleotide sequence selected from the group consisting of CAGGTAAGT, CAGGTGAGT, AAGGTAAGT, AAGGTGAGT;

         (ii)   a nucleotide sequence selected from the group consisting of TACTAAC, TGCTAAC, TCCTAAC, TTCTAAC, TACTGAC, TGCTGAC, TCCTGAC, TTCTGAC and TACTAAC, and any nucleotide sequence identical to a known splice branch site in a known gene, followed by;

         (iii)   a sequence selected from the group consisting of a sequence 14 to 34 nucleotides in length and any nucleotide sequence identical in length to a known spacer region between splice branch and acceptor sites in a known gene, followed by;

         (iv)   a nucleotide sequence selected from the group consisting of CAGG, TAGG and any sequence identical to a known splice acceptor site in a known gene, followed by;

         (v)   an open reading frame 3N-1 nucleotides in length, said open reading frame encoding a

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30   known   peptide   tag   recognizable   by   a   known
            reaction   characteristic   of   said   known   peptide
            tag, followed by;

                    (vi) a nucleotide sequence selected from the
            group   consisting   of   CAGGTAAGT,   CAGGTGAGT,
            AAGGTAAGT, AAGGTGAGT and any sequence identical
35   to a known splice donor site in a known gene; and

            (b)   inserting   said   DNA   sequence. into   said   intron
within said gene to create a tagged gene; and

            (2)   incubating said tagged gene within a cell so as
to maintain intact or to introduce said tagged gene within the
40   genome of said cell.

            2.   The method of claim 1 wherein said DNA sequence
is introduced into said intron by *in vitro* recombination methods.

            3.   The method of claim 1 wherein said DNA sequence
is introduced into said intron by *in vivo* recombination.

            4.   The method of claim 1 wherein said cell is that
of a microorganism.

            5.   The method of claim 1 wherein said cell belongs
to   a   culture   of   pleuripotent   stem   cells   derived   from   a
multicellular organism.

            6.   The method of claim 1 wherein said cell belongs
to a somatic cell culture derived from a multicellular organism.

7.   The method of claim 1 wherein expression of said gene is promoted by introducing said DNA molecule into said cell by a method chosen from the following group: transformation, electroporation, transduction, transfection, viral infection, bulk loading and liposome fusion.

8.   The method of claim 1 wherein said DNA sequence is introduced into said intron by the method of transposon insertion.

9.   The method of claim 1 wherein said DNA sequence is part of a recombinant plasmid.

10.   The method of claim 1 wherein said DNA sequence is part of a recombinant virus.

11.   The method of claim 1 wherein said DNA sequence is part of a recombinant transposon.

12.   The method of claim 1 wherein said DNA sequence becomes stably incorporated into the genome of said cell.

13.   The method of claim 1 wherein said peptide tag is recognized by specific monoclonal antibodies.

14.   The method of claim 1 wherein said peptide tag is recognized by specific polyclonal antibodies.

15.   The method of claim 1 wherein said peptide tag is recognized by specific reagents that are not antibodies.

16.   The method of claim 1 wherein said gene is contained in a living cell.

17.   The method of claim 1 wherein said gene is contained in isolated genomic, viral or organelle DNA.

18.   The method according to claim 1 wherein said open reading frame encodes a polypeptide sequence chosen from the group consisting of neomycin phosphotransferase, beta-galactosidase, green fluorescent protein and a substrate for
5   biotin ligase.

19.   A method for tagging genes, transcripts and proteins in cells, comprising:
      (1)   producing a tagged gene by inserting a DNA sequence into an intron of a gene by:
5   (a)   selecting a first DNA sequence
                        (i)   having a 5' portion free of any nucleotide sequence selected from the group consisting of CAGGTAAGT, CAGGTGAGT, AAGGTAAGT, AAGGTGAGT;
10   (ii) a nucleotide sequence selected from the group consisting of TACTAAC, TGCTAAC, TCCTAAC, TTCTAAC, TACTGAC, TGCTGAC, TCCTGAC, TTCTGAC and TACTAAC, and any nucleotide sequence identical to

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a known splice branch site in a known gene, followed by;

(iii) a sequence selected from the group consisting of a sequence 14 to 34 nucleotides in length and any nucleotide sequence identical in length to a known spacer region between splice branch and acceptor sites in a known gene, followed by;

(iv) a nucleotide sequence selected from the group consisting of CAGG, TAGG and any sequence identical to a known splice acceptor site in a known gene, followed by;

(v) an open reading frame 3N nucleotides in length, said open reading frame encoding a known peptide tag recognizable by a known reaction characteristic of said known peptide tag, followed by;

(vi) a nucleotide sequence selected from the group consisting of CAGGTAAGT, CAGGTGAGT, AAGGTAAGT, AAGGTGAGT and any sequence identical to a known splice donor site in a known gene;

(b) selecting a second DNA sequence

(i) having a 5' portion free of any nucleotide sequence selected from the group consisting of CAGGTAAGT, CAGGTGAGT, AAGGTAAGT, AAGGTGAGT;

(ii) a nucleotide sequence selected from the group consisting of TACTAAC, TGCTAAC, TCCTAAC,

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TTCTAAC, TACTGAC, TGCTGAC, TCCTGAC, TTCTGAC and

TACTAAC, and any nucleotide sequence identical to

a known splice branch site in a known gene,

45   followed by;

(iii) a sequence selected from the group

consisting of a sequence 14 to 34 nucleotides in

length and any nucleotide sequence identical in

length to a known spacer region between splice

50   branch and acceptor sites in a known gene,

followed by;

(iv) a nucleotide sequence selected from the

group consisting of CAGG, TAGG and any sequence

identical to a known splice acceptor site in a

55   known gene, followed by;

(v)   an open reading frame 3N+1 nucleotides

in length, said open reading frame encoding a

known peptide tag recognizable by a known

reaction characteristic of said known peptide

60   tag, followed by;

(vi) a nucleotide sequence selected from the

group consisting of CAGGTAAGT, CAGGTGAGT,

AAGGTAAGT, AAGGTGAGT and any sequence identical

to a known splice donor site in a known gene; and

65   (c)   inserting said first and second DNA sequences

in tandem into said intron within said gene to create a tagged

gene; and

(2)   incubating said tagged gene within a cell so as

to maintain intact or to introduce said tagged gene within the

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70 genome of said cell.

20. The method of claim 19 wherein said DNA sequence is introduced into said intron by *in vitro* recombination methods.

21. The method of claim 19 wherein said DNA sequence is introduced into said intron by *in vivo* recombination.

22. The method of claim 19 wherein said cell is that of a microorganism.

23. The method of claim 19 wherein said cell belongs to a culture of pleuripotent stem cells derived from a multicellular organism.

24. The method of claim 19 wherein said cell belongs to a somatic cell culture derived from a multicellular organism.

25. The method of claim 19 wherein expression of said gene is promoted by introducing said DNA molecule into said cell by a method chosen from the following group: transformation, electroporation, transduction, transfection, viral infection, bulk loading and liposome fusion.

26. The method of claim 19 wherein said DNA sequence is introduced into said intron by the method of transposon insertion.

27. The method of claim 19 wherein said DNA sequence is part of a recombinant plasmid.

28. The method of claim 19 wherein said DNA sequence is part of a recombinant virus.

29. The method of claim 19 wherein said DNA sequence is part of a recombinant transposon.

30. The method of claim 19 wherein said DNA sequence becomes stably incorporated into the genome of said cell.

31. The method of claim 19 wherein said peptide tag is recognized by specific monoclonal antibodies.

32. The method of claim 19 wherein said peptide tag is recognized by specific polyclonal antibodies.

33. The method of claim 19 wherein said peptide tag is recognized by specific reagents that are not antibodies.

34. The method of claim 19 wherein said gene is contained in a living cell.

35. The method of claim 19 wherein said gene is contained in isolated genomic, viral or organelle DNA.

36. The method according to claim 19 wherein said

first sequence is 5' to said second sequence.

37.   The method according to claim 19 wherein said first sequence is 3' to said second sequence.

38.   The method according to claim 19 wherein said first or second sequence is flanked by nucleotide sequences known to be recombinogenic.

39.   The method according to claim 19 wherein said recombinogenic sequence is a lox site.

40.   The method according to claim 19 wherein said recombinogenic sequence is an FRT site.

41.   A method for creating a frameshift mutation in a gene in a cell by tagging said gene by the method of claim 19 followed by the activation of said recombinogenic sites so as to delete said sequence flanked by said recombinogenic sites.

42.   The method according to claim 41 wherein the activation of said recombinogenic sites are catalyzed by cre recombinase.

43.   The method according to claim 41 wherein the activation of said recombinogenic sites are catalyzed by FLP recombinase.

44.   The method according to claim 19 wherein one or both of said open reading frames encode a polypeptide sequence chosen from the group consisting of neomycin phosphotransferase, beta-galactosidase, green fluorescent protein and a substrate for biotin ligase.

45.   A method for tagging genes, transcripts and proteins in cells, comprising:

(1)   producing a tagged gene by inserting a DNA sequence into an intron of a gene by:

5   (a)   selecting a first DNA sequence

(i)   having a 5' portion free of any nucleotide sequence selected from the group consisting of CAGGTAAGT, CAGGTGAGT, AAGGTAAGT, AAGGTGAGT;

10   (ii) a nucleotide sequence selected from the group consisting of TACTAAC, TGCTAAC, TCCTAAC, TTCTAAC, TACTGAC, TGCTGAC, TCCTGAC, TTCTGAC and TACTAAC, and any nucleotide sequence identical to a known splice branch site in a known gene,
15   followed by;

(iii)   a sequence selected from the group consisting of a sequence 14 to 34 nucleotides in length and any nucleotide sequence identical in length to a known spacer region between splice
20   branch and acceptor sites in a known gene, followed by;

(iv) a nucleotide sequence selected from the

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group consisting of CAGG, TAGG and any sequence identical to a known splice acceptor site in a known gene, followed by;

  (v) an open reading frame 3N-1 nucleotides in length, said open reading frame encoding a known peptide tag recognizable by a known reaction characteristic of said known peptide tag, followed by;

  (vi) a nucleotide sequence selected from the group consisting of CAGGTAAGT, CAGGTGAGT, AAGGTAAGT, AAGGTGAGT and any sequence identical to a known splice donor site in a known gene;

(b) selecting a second DNA sequence

  (i) having a 5' portion free of any nucleotide sequence selected from the group consisting of CAGGTAAGT, CAGGTGAGT, AAGGTAAGT, AAGGTGAGT;

  (ii) a nucleotide sequence selected from the group consisting of TACTAAC, TGCTAAC, TCCTAAC, TTCTAAC, TACTGAC, TGCTGAC, TCCTGAC, TTCTGAC and TACTAAC, and any nucleotide sequence identical to a known splice branch site in a known gene, followed by;

  (iii) a sequence selected from the group consisting of a sequence 14 to 34 nucleotides in length and any nucleotide sequence identical in length to a known spacer region between splice branch and acceptor sites in a known gene,

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followed by;

    (iv) a nucleotide sequence selected from the group consisting of CAGG, TAGG and any sequence identical to a known splice acceptor site in a known gene, followed by;

    (v) an open reading frame 3N or 3N+1 nucleotides in length, said open reading frame encoding a known peptide tag. recognizable by a known reaction characteristic of said known peptide tag, followed by;

    (vi) a nucleotide sequence selected from the group consisting of CAGGTAAGT, CAGGTGAGT, AAGGTAAGT, AAGGTGAGT and any sequence identical to a known splice donor site in a known gene; and

    (c) inserting said first and second DNA sequences in tandem into said intron within said gene to create a tagged gene; and

    (2) incubating said tagged gene within a cell so as to maintain intact or to introduce said tagged gene within the genome of said cell.

46. The method of claim 45 wherein said DNA sequence is introduced into said intron by *in vitro* recombination methods.

47. The method of claim 45 wherein said DNA sequence is introduced into said intron by *in vivo* recombination.

48. The method of claim 45 wherein said cell is that

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of a microorganism.

49. The method of claim 45 wherein said cell belongs to a culture of pleuripotent stem cells derived from a multicellular organism.

50. The method of claim 45 wherein said cell belongs to a somatic cell culture derived from a multicellular organism.

51. The method of claim 45 wherein expression of said gene is promoted by introducing said DNA molecule into said cell by a method chosen from the following group: transformation, electroporation, transduction, transfection, viral infection, bulk loading and liposome fusion.

52. The method of claim 45 wherein said DNA sequence is introduced into said intron by the method of transposon insertion.

53. The method of claim 45 wherein said DNA sequence is part of a recombinant plasmid.

54. The method of claim 45 wherein said DNA sequence is part of a recombinant virus.

55. The method of claim 45 wherein said DNA sequence is part of a recombinant transposon.

56.   The method of claim 45 wherein said DNA sequence becomes stably incorporated into the genome of said cell.

57.   The method of claim 45 wherein said peptide tag is recognized by specific monoclonal antibodies.

58.   The method of claim 45 wherein said peptide tag is recognized by specific polyclonal antibodies.

59.   The method of claim 45 wherein said peptide tag is recognized by specific reagents that are not antibodies.

60.   The method of claim 45 wherein said gene is contained in a living cell.

61.   The method of claim 45 wherein said gene is contained in isolated genomic, viral or organelle DNA.

62.   The method according to claim 45 wherein said first sequence is 5' to said second sequence.

63.   The method according to claim 45 wherein said first sequence is 3' to said second sequence.

64.   The method according to claim 45 wherein said first or second sequence is flanked by nucleotide sequences known to be recombinogenic.

65. The method according to claim 45 wherein said recombinogenic sequence is a lox site.

66. The method according to claim 45 wherein said recombinogenic sequence is an FRT site.

67. A method for creating a frameshift mutation in a gene in a cell by tagging said gene by the method of claim 45 followed by the activation of said recombinogenic sites so as to delete said sequence flanked by said recombinogenic sites.

68. The method according to claim 67 wherein the activation of said recombinogenic sites are catalyzed by cre recombinase.

69. The method according to claim 67 wherein the activation of said recombinogenic sites are catalyzed by FLP recombinase.

70. The method according to claim 45 wherein one or both of said open reading frames encode a polypeptide sequence chosen from the group consisting of neomycin phosphotransferase, beta-galactosidase, green fluorescent protein and a substrate for biotin ligase.

71. A method for tagging genes, transcripts and proteins in cells, comprising:

(1) producing a tagged gene by inserting a DNA

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sequence into an intron of a gene by:

5   (a)   selecting a first DNA sequence

(i) having a 5' portion free of any nucleotide sequence selected from the group consisting of CAGGTAAGT, CAGGTGAGT, AAGGTAAGT, AAGGTGAGT;

10   (ii) a nucleotide sequence selected from the group consisting of TACTAAC, TGCTAAC, TCCTAAC, TTCTAAC, TACTGAC, TGCTGAC, TCCTGAC, TTCTGAC and TACTAAC, and any nucleotide sequence identical to a known splice branch site in a known gene,
15   followed by;

(iii) a sequence selected from the group consisting of a sequence 14 to 34 nucleotides in length and any nucleotide sequence identical in length to a known spacer region between splice
20   branch and acceptor sites in a known gene, followed by;

(iv) a nucleotide sequence selected from the group consisting of CAGG, TAGG and any sequence identical to a known splice acceptor site in a
25   known gene, followed by;

(v)   an open reading frame 3N-1 nucleotides in length, said open reading frame encoding a known peptide tag recognizable by a known reaction characteristic of said known peptide
30   tag, followed by;

(vi) a nucleotide sequence selected from the

group consisting of CAGGTAAGT, CAGGTGAGT, AAGGTAAGT, AAGGTGAGT and any sequence identical to a known splice donor site in a known gene;

35 (b) selecting a second DNA sequence

(i) having a 5' portion free of any nucleotide sequence selected from the group consisting of CAGGTAAGT, CAGGTGAGT, AAGGTAAGT, AAGGTGAGT;

40 (ii) a nucleotide sequence selected from the group consisting of TACTAAC, TGCTAAC, TCCTAAC, TTCTAAC, TACTGAC, TGCTGAC, TCCTGAC, TTCTGAC and TACTAAC, and any nucleotide sequence identical to a known splice branch site in a known gene,

45 followed by;

(iii) a sequence selected from the group consisting of a sequence 14 to 34 nucleotides in length and any nucleotide sequence identical in length to a known spacer region between splice

50 branch and acceptor sites in a known gene, followed by;

(iv) a nucleotide sequence selected from the group consisting of CAGG, TAGG and any sequence identical to a known splice acceptor site in a

55 known gene, followed by;

(v) a sequence of nucleotides containing one or more stop codons in one or more reading frames;

(vi) a nucleotide sequence selected from the

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60   group   consisting   of   CAGGTAAGT,   CAGGTGAGT,

AAGGTAAGT, AAGGTGAGT and any sequence identical

to a known splice donor site in a known gene; and

(c)   inserting said first and second DNA sequences in

tandem into said intron within said gene to create a tagged gene;

65   and

(2)   incubating said tagged gene within a cell so as

to maintain intact or to introduce said tagged gene within the

genome of said cell.

72.   The method of claim 71 wherein said DNA sequence

is   introduced into said intron by *in vitro* recombination

methods.

73.   The method of claim 71 wherein said DNA sequence

is introduced into said intron by *in vivo* recombination.

74.   The method of claim 71 wherein said cell is that

of a microorganism.

75.   The method of claim 71 wherein said cell belongs

to a culture of pleuripotent stem cells derived from a

multicellular organism.

76.   The method of claim 71 wherein said cell belongs

to a somatic cell culture derived from a multicellular organism.

77.   The method of claim 71 wherein expression of said

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gene is promoted by introducing said DNA molecule into said cell
by a method chosen from the following group: transformation,
electroporation, transfection, bulk loading and liposome fusion.

78. The method of claim 71 wherein said DNA sequence
is introduced into said intron by the method of transposon
insertion.

79. The method of claim 71 wherein said DNA sequence
is part of a recombinant plasmid.

80. The method of claim 71 wherein said DNA sequence
is part of a recombinant virus.

81. The method of claim 71 wherein said DNA sequence
is part of a recombinant transposon.

82. The method of claim 71 wherein said DNA sequence
becomes stably incorporated into the genome of said cell.

83. The method of claim 71 wherein said peptide tag
is recognized by specific monoclonal antibodies.

84. The method of claim 71 wherein said peptide tag
is recognized by specific polyclonal antibodies.

85. The method of claim 71 wherein said peptide tag
is recognized by specific reagents that are not antibodies.

86.   The method of claim 71 wherein said gene is contained in a living cell.

87.   The method of claim 71 wherein said gene is contained in isolated genomic, viral or organelle DNA.

88.   The method according to claim 71 wherein said first sequence is 5' to said second sequence.

89.   The method according to claim 71 wherein said first sequence is 3' to said second sequence.

90.   The method according to claim 71 wherein said first or second sequence is flanked by nucleotide sequences known to be recombinogenic.

91.   The method according to claim 71 wherein said recombinogenic sequence is a lox site.

92.   The method according to claim 71 wherein said recombinogenic sequence is an FRT site.

93.   A method for eliminating a nonsense mutation in a gene by tagging said gene by the method of claim 71 followed by the activation of said recombinogenic sites so as to delete said sequence flanked by said recombinogenic sites.

94.   The method according to claim 93 wherein the

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activation of said recombinogenic sites are catalyzed by cre recombinase.

95. The method according to claim 93 wherein the activation of said recombinogenic sites are catalyzed by FLP recombinase.

96. The method according to claim 71 wherein said open reading frame or said sequence of nucleotides containing one or more stop codons encode a polypeptide sequence chosen from the group consisting of neomycin phosphotransferase, beta-galactosidase, green fluorescent protein and a substrate for biotin ligase.

97. A cell containing at least one gene tagged in accordance with the method of claim 1.

98. A cell containing at least one gene tagged in accordance with the method of claim 19.

99. A cell containing at least one gene tagged in accordance with the method of claim 45.

100. A cell containing at least one gene tagged in accordance with the method of claim 71.

101. An organelle containing at least one gene tagged in accordance with the method of claim 1.

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102. An organelle containing at least one gene tagged in accordance with the method of claim 19.

103. An organelle containing at least one gene tagged in accordance with the method of claim 45.

104. An organelle containing at least one gene tagged in accordance with the method of claim 71.

105. An organism containing at least one gene tagged in accordance with the method of claim 1.

106. An organism containing at least one gene tagged in accordance with the method of claim 19.

107. An organism containing at least one gene tagged in accordance with the method of claim 45.

108. An organism containing at least one gene tagged in accordance with the method of claim 71.

109. A protein expressed from at least one gene tagged in accordance with the method of claim 1.

110. A protein expressed from at least one gene tagged in accordance with the method of claim 19.
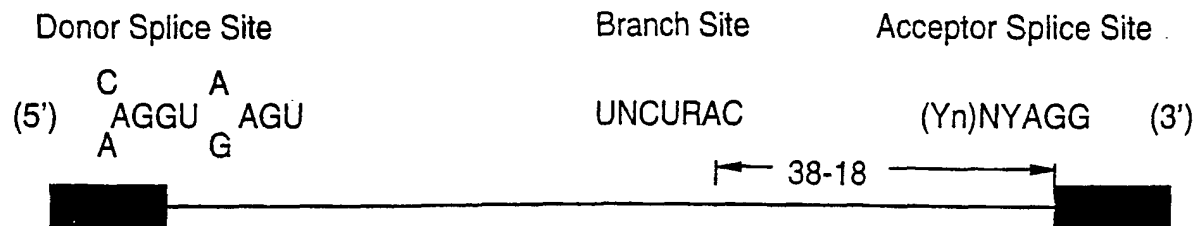
111. A protein expressed from at least one gene tagged

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in accordance with the method of claim 45.

112. A protein expressed from at least one gene tagged
in accordance with the method of claim 71.

Donor Splice Site   Branch Site   Acceptor Splice Site

$$\begin{matrix} & C & & A & \\ (5') & AGGU & & AGU & \\ & A & & G & \end{matrix}$$ UNCURAC   (Yn)NYAGG   (3')

|←——— 38-18 ———→|

R: purine; Y: pyrimidine; N: any base.

FIG. 1a

GeneFinder-1

FIG. 1b

branch site   acceptor site   donor site

left arm   peptide-encoding segment   right arm

FIG. 2

branch site   acceptor site   donor site

donor site   acceptor site   branch site

left arm   peptide-encoding segment   peptide-encoding segment   right arm

FIG. 3

branch site   acceptor site   donor site

donor site   acceptor site   branch site

left arm   peptide-encoding segment   right arm

FIG. 4

branch site   acceptor site   donor site

donor site   acceptor site   branch site

left arm   peptide-encoding segment   central segment   peptide-encoding segment   right arm

FIG. 5

FIG. 6

FIG. 7

peptide-encoding segment

FIG. 8

5/6

FIG. 9

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FIG. 10

INTERNATIONAL SEARCH REPORT

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6)   :Please See Extra Sheet.
US CL   :435/172.3, 252.3, 254.11, 325, 419; 530/350; 800/2, 205

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S.   :   435/172.3, 252.3, 254.11, 325, 419; 530/350; 800/2, 205

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, MEDLINE, EMBASE, SCISEARCH, BIOSIS, CAPLUS

search terms: Jarvik J, intron#, exon#, tag####

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X ------ P, Y	US 5,652,128 A (JARVIK) 29 July 1997, entire document, especially the claims.	1-18, 97, 101, 105, 109 -------- 19-96, 98-100, 102-104, 106-108, 110-112

[X] Further documents are listed in the continuation of Box C.   [ ] See patent family annex.

*	Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance		
"B"	earlier document published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O"	document referring to an oral disclosure, use, exhibition or other means		
"P"	document published prior to the international filing date but later than the priority date claimed	"&"	document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
18 FEBRUARY 1998	13 MAR 1998
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer SCOTT D. PRIEBE
Facsimile No. (703) 305-3230	Telephone No. (703) 308-0196

Form PCT/ISA/210 (second sheet)(July 1992)★

International application No.

PCT/US97/20150

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X ---- Y	JARVIK et al. CD-tagging: A new approach to gene and protein discovery and analysis. BioTechniques. May 1996, Vol. 20, No. 5, pages 896-898, 900-904, see entire document.	1-7, 9, 12-14, 16, 17, 97, 101, 105, 109 ----- 8, 10, 11, 15, 18-96, 98-100, 102-104, 104-108, 110-112
P, X ----- P, Y	SMITH, D. J. Mini-exon epitope tagging for analysis of the protein coding potential of genomic sequence. BioTechniques. July 1997, Vol. 23, No. 1, pages 116-120, see entire document.	1-14, 16, 17, 97, 101, 105, 109 ----- 15, 18-96, 98-100, 102-104, 106-108, 110-112

A. CLASSIFICATION OF SUBJECT MATTER:
IPC (6):

C07K 14/00; C12N 1/11, 1/13, 1/15, 1/19, 1/21, 5/10, 5/14, 5/16, 15/09, 15/10, 15/87